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Attorney Docket No.: 019941-

Assistant Commissioner for Patents Washington, D.C. 20231



## IN THE UNITED STATES PATENT AND TRADEMARK OFF

In re application of:

Toyohiro Sawada et al.

Application No.: 09/834,414

Filed: April 12, 2001

For: DRUG DELIVERY SYSTEM FOR AVERTING PHARMACOKINETIC DRUG INTERACTION AND METHOD

THEREOF

Examiner:

Konata M. George

Art Unit:

1616

SUPPLEMENTAL INFORMATION **DISCLOSURE STATEMENT UNDER 37** 

CFR §1.97 and §1.98

**Assistant Commissioner for Patents** Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. Also enclosed is a copy of the Search/Examination report corresponding to the PCT application. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

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Toyohiro Sawada et al. Application No.: 09/834,414

Page 2

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This IDS is being filed after the mailing date of the first Office Action and more than three months after the filing date, but prior to the Notice of Allowance or Final Office Action.

Each of the references, "BC, BD, BE and BF" are in the Japanese language. Each are referenced on the PCT Search Report. Reference BC is an "X" reference. References BD-BF are "Y" references.

Please deduct \$180.00, pursuant to 37 CFR §1.17(p), from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

This Petition is submitted in triplicate.

Respectfully submitted,

Joseph R. Snyder Reg. No. 39,381

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8<sup>th</sup> Floor San Francisco, California 94111-3834

Tel: 925 472-5000 Fax: 925-472-8895

JS:mys

WC 9042609 v1

Gp#1616#

PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031 Please type a plus sign (+) inside this box -> +

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			Applic	cation Number	09/834,414		1		
TRANSMITTAL FORM			Filing	Date	April 12, 20	April 12, 2001			
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be used for all correspondence after initial filing			Group	Art Unit	1616		面	<u> </u>	
			Exami	ner Name	Konata M.	George	To.		
Total Number of Pages in	This Submission		Attorne	ey Docket Number	019941-00	019941-000410US			
		ENCL	OSURES	(check all that apply)				乭	
Fee Transmittal Form		Assign	ment Pap Application	pers	After Alle	owance Com	municatio	n to	
Fee Attached		☐ Drawin	g(s)		Appeal Communication to Board of Appeals and Interferences  Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)				
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FFF TDANCMITTAL	Complete if Known						
FEE TRANSMITTAL	Application Number	09/834,414	OIPE				
for FY 2002 Patent fees are subject to annual revision.	Filing Date	April 12, 2001	NN 2 5 2002 W				
	First Named Inventor	Sawada, Toyohiro	10 15				
Applicant claims small entity status. See 37 CFR 1.27	Examiner Name	Konata M. George	The set				
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Number						127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		
Deposit Account				wnsend and		139	130	139	130	Non-English specification		
Name Crew LLP				147	2,520	147	2,520	For filing a request for reexamination				
The Commissioner is authorized to: (check all that apply)  Charge fee(s) indicated below Credit any overpayments						112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
Charge any additional fee(s) during the pendency of this application							1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
				or the filing fee		115	110	215	55	Extension for reply within first month		
to the above-i	dentifie					116	400	216	200	Extension for reply within second month		
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		NG FEE				118	1,440	218	720	Extension for reply within fourth month		
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101 740		(*/	Utility filin	na fee	100.000	120	320	220	160	Filing a brief in support of an appeal		
106 330			Design fil	•	<del>-</del>	121	280	221	140	Request for oral hearing		
107 510	20	7 255	Plant filing	ng fee		138	1,510	138	1,510 .	Petition to institute a public use proceeding		
108 740			Reissue f	•		140	110	240	55	Petition to revive – unavoidable		
114 160	21	4 80	Provision	nal filing fee		141	1,280	241	640	Petition to revive – unintentional		
		SUBTOT	AL (1)	(\$)		142	1,280	242	640	Utility issue fee (or reissue)	$\square$	
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2. EXTRA C	LAIM	EES FOR L	JTILITY A	ND REISSUE		144	620	244	310	Plant issue fee		
		1	Extra Clain	Fee from	Fee Paid	122	130	122	130	Petitions to the Commissioner		
Total Claims		-20** =		× [	] = [	123	50	123	50	Petitions related to provisional applications		
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Dependent  Large Entity	1 :	Small Entity		x (	=	581	40	581	40	Recording each patent assignment per property (times number of properties)		
Fee Fee	-	Fee Code	Fee (\$)	Fee Description	<u>n</u>	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		
Code (\$) 103 18		203	( <b>\$</b> ) 9	Claims in excess	- c of 20	149	740	249	370	For each additional invention to be		
103 18	1 -	202	42		ims in excess of 3	1			<del>-</del>	examined (37 CFR § 1.129(b))		
104 280	I "	204	140		ent claim, if not paid	179	740	279	370	Request for Continued Examination (RCE)		
109 84	_ I ~	209	42		pendent claims over	169	900	169	900	Request for expedited examination of a	$\vdash$	
110 18	] ;	210	9	** Reissue claim	ns in excess of 20	design application						
and over original patent  SUBTOTAL (2) (\$)							Other fee (specify)					
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1	SUBMITTED BY	1			<u></u>	omplete <i>(if applicable)</i>		
l	Name (Print/Type)	Joseph R. Snyder	Registration No. (Attorney/Agent)	39,381	Telephone	925-472-5000	子	ب
l	Signature	Joseph	. &		Date	June 13, 2002	8	٦
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